

APPLICATION FOR CONTINUING EDUCATION CREDIT

This form must be completed in order to receive continuing education credit

SCCE 2008 Compliance and Ethics Institute

September 14-17, 2008 Chicago, IL

To receive your continuing education credit, please complete all information and check the box corresponding to the credit type you wish to receive

Please leave this application at the registration desk or fax to 952-988-0146.

Your certificate will be mailed within approximately 6 weeks

<input type="checkbox"/>	CCB/CHC (50 min hour)
<input type="checkbox"/>	CCEP (50 min hour)
<input type="checkbox"/>	ACHE (clock hour)

<input type="checkbox"/>	NASBA/CPE (50 min hour)
<input type="checkbox"/>	MCLE (clock hour)
<input type="checkbox"/>	OTHER

Don't see your continuing education listed? Please contact ccep@corporatecompliance.org. We will try to accommodate your request.

By signing below, I certify that I have attended the following sessions:

Name (print legibly please) _____

Signature: _____

License State _____ License/Bar Number _____

(Attorneys)

Company _____

Address _____

City/State/Zip _____

Email _____ Phone: _____

***CLE hours may vary based on length of program or type of program
Completed forms can also be faxed to SCCE at 952-988-0146**

Select ONLY the sessions you attend

Attendance Record

Sunday, September 14, 2008

**Morning Sessions: 9:00am -12:00 Noon pm, Sunday, September 14th, 2008—
Preconference (3 clock hours)**

- _____ P1 Compliance 101
- _____ P2 Risk Assessments
- _____ P3 Managing FCPA Issues in Global Organization/Due Diligence
- _____ P4 Measuring Program Effectiveness: What Works and What Doesn't
- _____ P5 Introduction to Compliance for Cities and Municipalities

**Afternoon Sessions: 1:00pm -4:00pm Sunday, September 14, 2008—Preconference
(3 clock hours)**

- _____ P6 Privacy Officer Roundtable
- _____ P7 Compliance Officers Roundtable
- _____ P8 Optimizing your Code of Conduct
- _____ P9 Conducting Internal Investigations
- _____ P10 Compliance 101 for Federal Contractors

Monday, September 15, 2008

Morning sessions: September 15, 2008 8:15am-10:30 am (2.25 clock hours)

- _____ General Session: The Government Perspective on Corporate Culture

Morning Sessions: September 15, 2008 11:00am-12:00pm (1 clock hour)

- _____ 101 Institutionalizing Ethics & Compliance in Everyday Business Activities
- _____ 102 HR, Audit, Legal Compliance: Working Together
- _____ 103 International Compliance Panel
- _____ 104 Building a World Class Compliance Program: Best Practices and Strategies for Success
- _____ 105 Honor Codes
- _____ 106 Implementing Information Security Initiatives
- _____ 107 Compliance Initiative: A Case Study in Applying Best Practices from the Private to the Public Sector

Luncheon Presentation: Monday, September 15, 2008 12:00-1:00 pm (.5 clock hour)

- _____ Richard Daley, Mayor, City of Chicago

Afternoon Sessions: Monday, September 15, 2008 1:30pm-2:30pm (1 clock hour)

- _____ 201 Cost-Conscious Compliance: Promoting Integrity and Maximizing Results with Limited Resources
- _____ 202 Compliance and Conflict of Interests
- _____ 203 Beyond Compliance: Building a Values-Driven Workplace
- _____ 204 Compliance in an Outsourced World
- _____ 205 Code of Ethics
- _____ 206 Justifying Your Compliance Budget
- _____ 207 Why Cities, States and Municipalities Need Compliance Programs

Monday Afternoon: September 15, 2008 3:00-4:00 pm (1 clock hour)

- _____ 301 Overcoming Roadblocks to Implementing an FCPA Compliance Program
- _____ 302 Case Study: BT's Regulatory Compliance Challenge
- _____ 303 Intellectual Property and Compliance
- _____ 304 Three Distinct Professions: Corporate Compliance, Ethics and Responsibility
- _____ 305 Building a Political Law Compliance Program
- _____ 306 Project Management and Compliance: How to Plan the Work and Work the Plan
- _____ 307 Landmark Study: City of Austin: The Case for Ethics Programs

Afternoon Sessions: September 15, 2008 4:30pm-5:30pm (1 clock hour)

- _____ 401 The Fraud Control Gap: Compliance & Ethics Implications
- _____ 402 Implementing a Global Ethics & Compliance Program
- _____ 403 Prosecution of Corporate Officials: Compliance Becomes Personal
- _____ 404 The Business Case for Compliance
- _____ 405 Compliance & Ethics Program Rankings & Ratings
- _____ 406 Responding to a Data Breach
- _____ 407 Internal Audit: The Compliance Officer's Best Friend

Tuesday, September 16, 2008

- _____ General Session: 8:15-9:15 am Shaping Corporate Culture (1 clock hour)
- _____ General Session: 9:15-10:30am Extraordinary Circumstances (1.25 clock hour)

Morning Sessions: September 16, 2008 11:00am-12 noon pm (1 clock hour)

- _____ 501 Why Good People do Bad Things
- _____ 502 Who's Lying? How to Identify & Document Untruthfulness in Internal Investigative Interviews
- _____ 503 Identifying Organizational Risk-Based Activities and Integrating them into your Compliance Program
- _____ 504 Creating a Subculture of Compliance: How to Export US Centric Systems Within Conflicting International Cultures
- _____ 505 The Return of Investment of a Well-Implemented Ethics Program and Strong Ethical Culture
- _____ 506 Internal Investigations and Voluntary Disclosure

_____ 507 Designing and Implementing an Effective Compliance Program for a Small to Mid-Sized Private Company

Afternoon Sessions: September 16, 2008 1:30-2:45pm (1.25 clock hour)

- _____ 601 Protection for Compliance Officers
- _____ 602 Supporting Ethics & Compliance Programs by Leveraging Technology
- _____ 603 Case Study: Into the Firestorm; Leading Through Crisis
- _____ 604 Helplines/Hotlines: What You Can do to Encourage Reporting
- _____ 605 Key Recent Developments Regarding Attorney-Client Privilege, Work Product Protection, and Indemnification
- _____ 606 E-Hold and Records Management
- _____ 607 Why Ethics and Compliance Programs are Necessary and Expected of Today's Contractors

Afternoon Sessions: September 16, 2008 3:15-4:15pm (1 clock hour)

- _____ 701 OFAC Compliance Update
- _____ 702 Supplier Ethics Management; What You Don't Manage Can Hurt You
- _____ 703 Conducting an Effective Retaliation Claim Investigation: Cross-Border Edition
- _____ 704 Understanding & Optimizing Legal & Regulatory Risk Management
- _____ 705 Compliance & Enforcement in a Self-Regulatory Model
- _____ 706 The New Federal Compliance Mandate: Navigating the Mandatory Compliance Program Requirements for Commercial Companies doing Business with the Federal Government

Afternoon Sessions: September 16, 2008 4:30-5:30 pm (1 clock hour)

- _____ 801 Implementing a Successful Financial Disclosure Policy
- _____ 802 Just Because It's Legal Doesn't Mean It's Ethical
- _____ 803 Practicing Ethical Fitness
- _____ 804 Strong IT Governance: Ethical Arguments and GRC Convergence Strategies
- _____ 805 Applying the Federal Sentencing Guideline Compliance and Ethics Standards to Federal Contractors

Wednesday September 17, 2008

Morning Sessions: September 17, 2008 8:00am-12:00pm Post-Conference (4 clock hours)

- _____ W1 Ethics Officers Roundtable
- _____ W2 Auditing & Monitoring
- _____ W3 Education & Training
- _____ W4 Program Assessment: Developing Meaningful Ethics and Compliance Data to Demonstrate the Effectiveness of your Program